

Applicant or Patentee:  
Serial or Patent No.:  
Filed or Issued:  
For:

Attorney's Docket No.:

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) — INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

METHOD AND EQUIPMENT FOR MAKING PLASTIC FLOOR TILES WITH  
ONE ROUND OF PROCESSING

described in:

- ☐ the specification filed herewith  
☐ application serial no. , filed  
☐ patent no. , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization  
☐ person, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Kuang-Pao Lo

FULL NAME

ADDRESS NO. 17, ALLEY 13, LANE 528, DA SHING ST., TAINAN, TAIWAN, R. O. C.

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Kuang-Pao Lo

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

SIGNATURE OF INVENTOR

SIGNATURE OF INVENTOR

SIGNATURE OF INVENTOR

DATE

DATE

DATE

# Declaration For Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND EQUIPMENT FOR MAKING PLASTIC FLOOR TILES WITH ONE , the specification of which  
ROUND OF PROCESSING

(check one) ☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status) (patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status) (patented, pending, abandoned)

And I hereby appoint as principal attorney

Please direct all communications to the following address:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Kuang-Pao Lo

Inventor's signature K. P. LO

08/10/2001  
Date

Residence NO. 17, ALLEY 13, LANE 528, DA SHING ST., TAINAN, TAIWAN, R. O. C.

Citizenship TAINAN CITY, TAIWAN

Post Office Address P. O. BOX 2-10, TAINAN CITY, TAIWAN.

(Supply similar information and signature for second and subsequent joint inventors.)

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR

Assignor's Name	Assignor's Residence and/or Address	Nationality
(1) Kuang-Pao Lo	NO. 17, ALLEY 13, LANE 528, DA SHING ST., TAINAN, TAIWAN, R. O. C.	
(2)		
(3)		
(4)		

hereby sells, assigns and transfers to ASSIGNEE

Assignee's Name	Assignee's Residence and/or Address	Nationality
DELIGHT PLASTIC CO., LTD.	NO. 17, ALLEY 13, LANE 528, DA SHING ST., TAINAN, TAIWAN, R. O. C.	

and the successors, assigns and legal representatives of the ASSIGNEE *[check one of the following]*  
☐ the entire right, title, and interest ☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest  
 in and to any and all improvements which are disclosed in the invention entitled: METHOD AND EQUIPMENT FOR  
MAKING PLASTIC FLOOR TILES WITH ONE ROUND OF PROCESSING, and which is found in *[check one of the following]*  
 \_\_\_\_\_  
 (a) ☐ U.S. patent application executed on \_\_\_\_\_  
 (b) ☐ U.S. patent application serial number \_\_\_\_\_, filed on \_\_\_\_\_  
 (c) ☐ U.S. patent number \_\_\_\_\_, issued on \_\_\_\_\_

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissued or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNOR will communicate to ASSIGNEE or its representatives any facts known to ASSIGNOR respecting the invention, to testify in any legal proceedings, to sign all lawful papers, to execute all divisions, continuations, substitutions, renewal and reissue applications, and to generally do those things necessary to aid ASSIGNEE and its successors and assigns to obtain and enforce proper protection for the invention in the United States and in any and all foreign countries.

Assignor's Signature	Printed Name and/or Title	Date Signed
(1) <i>K. P. LO</i>	Kuang-Pao Lo	08/10/2001
(2)		
(3)		
(4)		